# TO FORMULATE A CONCEPTUAL MODEL FOR INNOVATION: REFRAMING THEORETICAL CONSTRUCTS OF BIM ADOPTION WITHIN SME ORGANISATIONS

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The Construction industry is facing a paradigm shift in the adoption of new innovative ways of designing and delivering of projects. This innovative process includes building information modelling (BIM) which have shown significant impact on efficiency and effectiveness during a project development process within the construction industry. However, the shift to its acceptance, adoption and implementation in the emerging markets has brought distortion in the organisational culture, behaviour, and business processes of small medium enterprises (SMEs). This is often associated to the lack of clear orientation of the impact of BIM adoption to the firms. Although several theories have explained different concept relating to acceptance of innovative technologies within an organisation, however, it is crucial to understand this concept through the lens of BIM. Thus, this paper identifies the different theories concerning BIM acceptance within organisations and highlights the relationship between different factors that influence BIM acceptance within organisations. This was achieved by identifying the key factors that influence the acceptance of innovation and the process of adopting an innovation within organisations. The study involved a two-step analysis; systematic literature review and theoretical formulation. The systematic literature review was used to identify various theories and models that explains the acceptance process and behaviour of individuals during adoption of new innovations within SMEs organisations. The theoretical formulation was achieved by synthesizing the key influencing factors and indicators identified from the systematic literature review which were categorised into four groups namely; organisation, human, technology and relationship. The output was consolidated to form the conceptual model that would be the basis of further research and will help in understanding the relationship between factors that influence BIM acceptance process within SMEs organisation in the construction industry.

Keywords: BIM, conceptual model, innovation adoption, SME, theoretical construct

### **INTRODUCTION**

Although the construction industry possesses a unique status in the development of a nation's economy, a diagnosis of the industry has shown lack of productivity and inefficiency in construction project delivery due to poor communication between construction stakeholders and the industry fragmented nature (Farmer, 2016). Studies have been carried out in response to the above accusations ranges from new contractual/procurement arrangements like partnering, concurrent engineering, integrated project delivery to innovations in ways to design and deliver construction

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processes such as three-dimensional computer aided design and modelling (Underwood and Isikdag, 2011). However, building information modelling (BIM) is among such innovative process that promises to bring about continuous improvement and desired change within the construction industry (Matthews *et al.*, 2018).

Building information modelling has various definition. According to buildingSMART International, (2016), it is defined as "the digital representation of the physical and functional characteristics of a facility". Therefore, this aids in obtaining reliable information from a shared knowledge resource about a facility which can serve as a basis for decision making during the project lifecycle (Arayici *et al.*, 2011). BIM technologies benefits as claimed by its advocates includes improved data exchange and communication, improved collaboration and coordination of documents used in construction, improved design visualization and quantification, clash detection and cost reduction among others (Eastman, Teicholtz, Sacks, and Liston, 2012; Liu, van Nederveen, and Hertogh, 2017). Furthermore, countries that have adopted BIM at various level of their construction projects and documented substantial evidence of improvement in project delivery (Liu *et al.*, 2017; Wong, 2018).

The nature of BIM will not allow firms to do the same things in a new way and therefore it is difficult to come up with a single model of BIM adoption for the AEC industry. According to Aranda-Mena et al., (2008) 'In order for a business case to be reliable, it must be developed to achieve specific objectives or outcomes taking into consideration the particular needs and characteristics of the company. The clearer the objectives are defined, and the specific circumstances of the company analysed, the better the business case will be.' It is especially true with the small and medium enterprises considering their nature and culture. Thus, the aim of this paper is to develop a conceptual model of BIM acceptance that will have the power to demonstrate acceptance and usage behaviour of BIM within SME's through; (a) identifying the key research trends of BIM adoption within SME, (b) identifying the theoretical models related to innovation adoption with a focus on BIM and, (c) identifying the key factors that influence BIM adoption within organization. A Thorough understanding of the model will help practitioners to analyse the reasons for resistance toward the BIM and will also help to take efficient measures to improve BIM usage and acceptance within SME.

### **Innovation Diffusion Theories**

Crucial to examining the prospects of BIM adoption in a given context, particularly amongst the small and medium size firms, it is important to establish the level of how similar technologies are adopted in that context and identify the specific factors that affect it. According to Rogers, (2010), the level of innovation adoption can be determined or gauged by several factors. Perhaps most important among them are subjective perceptions derived from personal experiences. These perceptions and how they are shared across networks are shown to drive the innovation diffusion process. BIM is a complex tool that requires fundamental changes in existing methods. These qualities are likely to produce subjective perceptions and personal experiences that seriously impact the diffusion process. Rogers continues to define five stages involved in the adoption process, knowledge, persuasion, decision, implementation, and confirmation as seen in the Figure 4. These stages provide a basis to formulate a theoretical framework for investigating adoption process of BIM in the small and medium-sized firms. Theories of Diffusion of Innovation by Rogers, (2010) is, therefore, crucial to this research, which is determining emerging models in the wake of ICT adoption in the Nigerian context. The unit of analysis for this study will be the small and medium size architectural firm as an organisation.

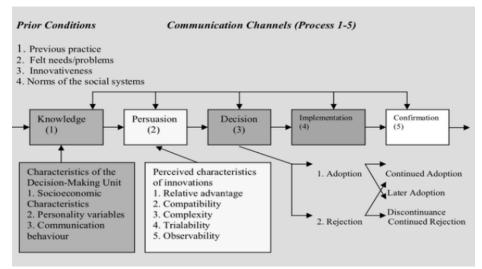


Figure 1: A model of innovation diffusion theory (Rogers, 1995).

### **Technology Acceptance Model**

One of the key measures of identifying level of BIM adoption is achieving the intended level of usage of the technology. Kim *et al.*, (2017) claimed that users' positive attitude and belief in technology are related to user tendencies in accepting technology and therefore, technology usage is a reflection of acceptance by users. Similarly, while BIM usage has many benefits for information management in the AEC, the usage of BIM as new technology causes increased BIM user's resistance. The factors causing BIM user resistance are rather not necessarily technological but environmental factors and organization-related factors of the users. That is, if organizations are not ready for these factors, it is difficult for a BIM user to obtain the expected positive effects from BIM usage.

Technology acceptance model (TAM) introduced by Davis *et al.*, (1989) is an adaptation of the theory of reasoned action (TRA) and the theory of planned behaviour (TPB) specifically tailored for modelling this user behaviour in acceptance of technology. The goal of TAM is to provide an explanation of the determinants of technology acceptance that is capable of explaining user behaviour across a broad range of end-user computing technologies and user populations, while at the same time being both parsimonious and theoretically justified. In this model, perceived usefulness and perceived ease of use are of primary relevance for Information Systems (IS) acceptance behaviour as seen in Figure 2.

## **METHODS**

There are different methods to carry out systematic literature review (SLR) which are all explicit, rigorous and comprehensive (Albliwi *et al.*, 2015). According Pinho *et al.*, (2018), the most quoted method of SLR in the area of Social Sciences are, (1) Planning the review, (2) Carrying out the review and (3) Disseminating the results obtained. This research adopted similar method.

The databases that were used to carry out the search are namely; Scopus, Web of Science, EBSCO host and Emerald Insight. The search expression as detailed below is existing on the topic or title, keywords and abstract. The area of the search was

restricted to research papers, articles, reviews, conference papers and conference reviews that are written in English language within the last decade.

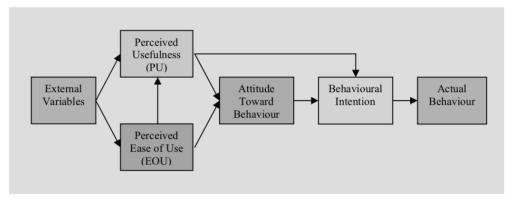


Figure 2: Technology acceptance model (Davis, Bagozzi, and Warshaw, 1989)

After this process the Scopus database produced a total of 93 articles, the Web of Science produced 6, the EBSCO host produced 7 and the Emerald Insight produced 5, making a total of 111 articles. Subsequently, using Mendeley Desktop referencing tool version (1.19.3), 3 duplicate articles were removed leaving a total of 108. The selected articles were further presented using VOS viewer which is a computer program developed for constructing and viewing bibliometrics mapping using graphical representation.

Table 1 shows the number of documents retrieved from each step of the search process. Step I, Step II and Step III are different search strings as shown below while Step IV involved additional criteria to ensure that only articles relevant to the study are selected. The search strings are as follows:

- 1. Step I: ("building information modelling" AND bim)
- 2. Step II: ("building information modelling" AND bim) AND (adoption\* OR implementation\*)
- 3. Step III: ("building information modelling" AND bim) AND (adoption\* OR implementation\*) AND ("SME")
- 4. Step IV: Excluding the irrelevant document types and restricted the number of years to (2010 -2019).

	Step I	Step II	Step III	Step IV
Scopus	4,174	2,296	108	93
Web of Science	1,125	528	6	6
EBSCO host	8,290	720	8	7
Emerald Insight	329	281	12	5

## RESULTS

### Analysis of BIM Adoption Research Trends Based on Bibliographic Coupling

Studying the bibliographic network among 108 publications in our dataset, three distinct clusters can be identified. Figure 3 illustrates a relative coherent bibliographic network on BIM adoption within SME publication published between 2010 and 2019. It shows three major distinct clusters namely; construction industry, information modelling and building. These are the most frequent keywords used.

Figure 4 shows the number of articles related to BIM adoption published between 2010 - 2019. There is a steady increase in the number of articles published which shows a growing interest in BIM research. The highest of which is 2018 which has almost double the previous year.

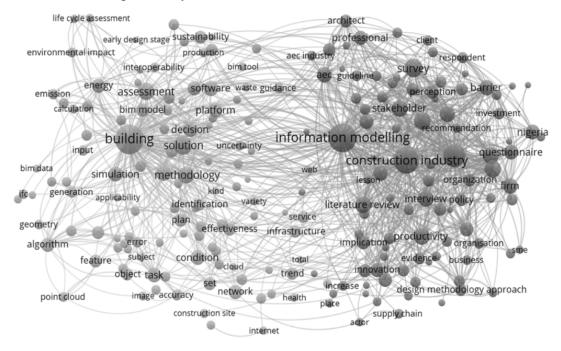
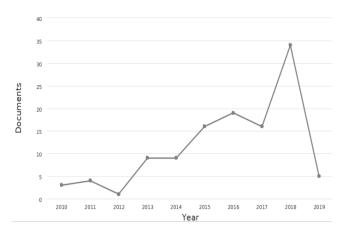


Figure 3: Network of BIM adoption within SME publications published between 2010-2019



*Figure 4: Number of articles published between 2010 and 2019 related to BIM adoption within SME.* 

#### **Conceptual Model**

Figure 5 shows a proposed conceptual model which contains two major components. The first component is adapted from the technology acceptance model which include perceived ease of use, perceives usefulness, attitude towards usage and intention to use. This are identified as factors that influence the acceptance of technology in this case, BIM. The second factors identified from literature are categorised into four. Studies have identified the compatibility of technology, the competency of the firm, the behaviour control and the quality of technology as external factors that influence BIM acceptance (Lee *et al.*, 2015).

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TAM proposes that external variables indirectly affect attitude toward use, which ultimately leads to actual system use by influencing perceived usefulness and perceived ease of use. However, several studies later proposed extended version of Davis's TAM by adding external variables in it with the aim of exploring the effects of external factors on users' attitude, behavioural intention and actual use of technology. Several factors have been examined so far. For example, perceived selfefficacy, facilitating conditions, and systems quality (Fathema et al., 2014). However, Revised Roger's theory of innovation diffusion (Rogers, 2010) also mentioned five (5) factors as external variables of acceptance and perception; Relative Advantage, Compatibility, Complexity, Trialability, Observability. This factors provided the principal theoretical perspective on technology acceptance which has been applied at both individual and organisational levels of analysis while its primary intention is to provide an account of the manner in which any technological innovation moves from the stage of the invention to widespread use (or not) (Dillon and Morris, 1996). Integrating both the theories of the Innovation diffusion by Rogers and that of technology acceptance model by Davis is essential for achieving the aim of this study.

Construct	Description
Organisation	These are the ability of the firm in terms of effectiveness, infrastructure, willingness to motivate and innovative processes to support new technology.
Relationship	These has to do with the impact of internal pressure form colleagues, the influences arising from external pressure i.e. other professionals and the image and reputation to be maintained to the environment.
Human	The human factor has to do with the motivation and capability of the top management and employees to accept and use the technology. The consensus is the extent to which they jointly take a decision as to accept or reject the use of technology.
Technology	These are the extent to which the technology can benefit and be suitable to the organization. It also has to do with the perceived ease of the technology towards producing the desired output and the degree of compatible with the current work flow and processes.
Intention to use	Intention to accept BIM are willingness to encourage the use of BIM among group constituents, willingness to recommend the use of BIM to other organizations in cooperative relationships, and willingness to develop BIM application technology

Table 2 shows the construct of BIM conceptual model and the description of each variable. There are four main categories of factors namely; the organisation, human, relationship and technology. These factors are the first layer of the conceptual framework and have indicators that influence them. Taking the "organisation" as an example, the indicators include; process, infrastructure and competence. The details of each factor are discussed in the table 2. The second layer of the conceptual model is the intention to use and attitude towards usage. This is adapted from the figure 2 (the technology acceptance model). This have an influence on the core of the conceptual model (the actual usage).

## CONCLUSIONS

The aim of this paper is to develop a conceptual model of BIM acceptance that will have the potential to demonstrate acceptance and usage behaviour of BIM within SME's. A systematic and comprehensive review of literature (including 108 articles) was presented to identifying the key research trends of BIM adoption within SME and the theoretical models related to innovation adoption with a focus on BIM. From the selected articles, the key factors that influence BIM adoption were identified and categorised into groups. These key factors would be the presumed variables that might influence BIM adoption. A conceptual model was then developed by consolidating the results and findings. However, Further research towards improving BIM usage and acceptance within SME would be conducted using the conceptual model as a base.



Figure 5: Conceptual model adapted from different models

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